



## ARMS RACE BETWEEN PHYTOPATHOGENS AND HOST PLANTS: A SIMILITUDE OF TWO NATIONS AT WAR

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**ABSTRACT**

Phytopathogens have coexisted with their host plants from the beginning of their existence, relying on them for shelter and nutrients to survive, therefore leading to a constant attack of host plants. On the other hand, host plants have evolved several strategies to defend themselves against pathogen attack. The attacks and counter-attacks can be likened to an “arms race”, between two nations at war. Pathogens evolved strategies (arms) which include exertion of mechanical forces and secretion of biochemical substances such as enzymes and growth regulators, to attack susceptible host plants. These strategies are facilitated by the inability of the host plants to recognize certain molecules, known as PAMPs (Pathogen-Associated Molecular Patterns) from the pathogens. In a counter attack, host plants have developed receptors to recognize the presence of pathogens and PAMPs. This recognition activates PAMP-triggered immunity (PTI), thereby making the hitherto host plants resistant. Pathogens have further evolved another set of “arms”, known as secretion systems and effector genes, whose gene products suppress PTI, thus causing diseases. As the arms race continued, plants have evolved effector-triggered immunity (ETI) by developing intracellular resistance genes, thereby resulting to a hypersensitive reaction that follows the gene-for-gene concept. Presently, some pathogens have developed mechanisms for the suppression of ETI. In some plant-pathosystems, the plants are winning, while in others, the pathogens are winning. Phytopathological research should therefore be directed towards assisting susceptible plants to defeat phytopathogens, in order to ensure food security.

**Keywords:** Arms race, Phytopathogen, Strategies, MAMP, PAMP, PTI, ETI

**INTRODUCTION**

Phytopathogens have coexisted with their host plants from the beginning of their existence. Plant pathogens rely entirely on their hosts for shelter and nutrients to survive. This total reliance has led to a constant attack of host plants by phytopathogens, resulting to physiological dysfunction in host plants, leading to a diseased condition (Shittu *et al.*, 2017). On the other end of the spectrum, host plants also deploy a myriad of structural and biochemical defence mechanisms to prevent or ameliorate the effects of phytopathogens. The resultant outcome of these attacks by phytopathogens and counter defence mechanisms by host plants has led to complex and dynamic interactions between plants and phytopathogens. This interaction is a battle that has existed over evolutionary periods and could be likened to a biological “arms race” (Holub, 2001). The idea of this “arms race” is that one species evolves survival strategies in response to changes in another competing species (Dawkins and Krebs, 1979), comparable to two nations at war with each other.

**ARMS RACE BETWEEN PLANTS AND PHYTOPATHOGENS**

During evolutionary periods, phytopathogens have learnt to rely entirely on plants for shelter and nutrients. This total reliance has led phytopathogens to develop strategies (arms) to constantly attack host plants. Plants have also developed strategies (arms) to defend themselves against pathogen attack, thus leading to constant and dynamic attacks-counter attacks, known as “arms race”. This “arms race” plays a vital role in the evolution of new and well adapted species of phytopathogens and plants.

**Pathogen attack: Evolution of physical and biochemical weapons by phytopathogens**

Phytopathogens have developed strategies to break the protective barrier of host plants. Pathogens that penetrate the host plant directly exert a mechanical force on the host surface to enhance their penetration. For example, the formation of appressorium by some fungal phytopathogens such as *Colletotrichum*, *Verticillium* and the powdery mildew fungi enhanced the penetration of the host plant (Agrios,

2005). The successful penetration of the host plant is immediately followed by colonization, thereby resulting to a diseased condition. Accumulation of melanin in the appressorial wall of some fungi such as *Cochliobolus*, *Colletotrichum*, *Gaeumannomyces* and *Magnaporthe* enhances the penetration of the host plant (Agrios, 2005). In *Magnaporthe grisea*, single gene mutations at loci encoding melanin biosynthetic enzymes resulted in non-melanized appressoria that are unable to generate turgor, thereby making the organism non-pathogenic (Howard and Valent, 1996; Money and Howard, 1996). Pathogenicity of a non-melanin producing albino mutant of the cucumber pathogen, *Colletotrichum lagenarium*, could be restored by transformation with a melanin biosynthetic gene (Kubo *et al.*, 1991). Also, appressoria from melanin mutant strains of *Magnaporthe grisea* as well as wild-type appressoria after treatment with a melanin synthesis inhibitor displayed much lower glycerol levels (De Jong *et al.*, 1997). Therefore, glycerol appears to be the major compound generating the turgor pressure and melanization is required for efficient build-up of turgor by rendering the appressorial walls impermeable to glycerol. Parasitic nematode such as *Meloidogyne javanica* that causes root knot diseases physically penetrates the host surface by thrusting its stylet to and fro (Agrios, 2005). The nematode first sticks to the plant surface by the pressure that develops when its fused lips contact with the host plant. Unlike fungal phytopathogens that produce appressoria, bacteria phytopathogens are unable to penetrate the host plant directly, but rather enter the host usually through natural openings such as the stomata, and they also secrete cell wall-softening and degradative enzymes.

The biochemical weapons of phytopathogens include secretion of enzymes, growth regulators, polysaccharides and toxins (Shittu *et al.*, 2017). Enzymes such as cutinases, cellulases, pectolytic enzymes and xylanase are secreted by phytopathogens to enhance the penetration of the host plant (Agrios, 2005). The secretion of enzymes seems to be the most important attack strategy (arms) in some diseases such as the soft rot, caused by *Erwinia carotovora* (Agrios, 2005). Growth regulators are synthesized endogenously by host plants and they are effective at minute concentrations. Elevated levels of these growth factors caused by pathogens often create an imbalance in the hormonal system of the host plant, thereby bringing about abnormal growth responses incompatible with the healthy development of a plant (Agrios, 2005). The most important groups of plant growth regulators that are implicated in plants diseases include auxins, gibberellins,

cytokinins and ethylene. Increased auxin levels have been reported in plants infected with some phytopathogens such as *A. tumefaciens* (causing crown gall), *Ustilago maydis* (causing corn smut), and *Fusarium oxysporum f. cubense* (causing banana wilt) (Agrios, 2005). These increases in growth regulators could be attributed to direct production by the pathogens, interference with the plant regulatory system or inhibition of enzymes such as IAA oxidase by the pathogens (Agrios, 2005). The foolish seedling disease of rice, in which rice seedlings infected with the fungus *Gibberella fujikuroi* grow rapidly and become abnormally taller than the healthy plant could be attributed to a considerable extent, to the gibberellin secreted by the pathogen (Agrios, 2005). Ethylene is produced by several plant pathogenic fungi and bacteria such as *Ralstonia solanacearum* (Agrios, 2005).

Infection by phytopathogens using physical and biochemical weapons is aided by the inability of the host plants to recognize the presence of pathogen-associated molecular patterns (PAMPs). PAMPs are structures that are conserved over an evolutionary period and also give the organism an adaptive advantage (Boyd et al., 2018). These structures are also referred to as microbe-associated molecular patterns (MAMP) because they could be inherent in non-pathogenic microbe. Examples of PAMPs include bacterial flagellin, bacterial elongation factor (EF-Tu), glucans and glycoproteins from oomycetes, chitin from fungal cell wall, lipopolysaccharides of gram-negative bacteria and cold shock proteins, with the latter two known as orphan PAMPs (Boyd et al., 2018). Some PAMPs are able to suppress defence response in the host plant, for example, lipopolysaccharide (LPS) suppresses plant defence by chelating calcium ( $Ca^{2+}$ ) ions which plays a very important role in host plant defence and signal transduction (Newman et al., 2007).

#### Plant counter attack: Development of PAMP-triggered immunity (PTI)

In a counter attack, host plants have developed receptors known as pattern recognition receptors (PRRs) localized in the plasma membrane to recognize or perceive the presence of PAMPs in order to activate a defence response (Couto and Zipfel, 2016). Examples of PRRs include flagellin sensitive 2 (FLS2), elongation factor receptor (EFR), chitin elicitor binding protein and glucan binding protein which recognize a conserved epitope of flagellin (flg22), bacterial elongation factor (EF-Tu), chitin and glucan respectively (Zhang and Zhou, 2010). The first two are Leucine-Rich-Repeat (LRR) receptor-like kinases that are capable of auto phosphorylation (Zhang and Zhou, 2010). Plants possessing the appropriate PRR are able to detect the presence of the pathogen at very low concentrations (Boller and Felix, 2009). Recognition of PAMPs serves as an early system warning for the presence of a potential pathogen and it is followed by the activation of a form of immunity known as PAMP-triggered immunity (PTI).

PTI is the first line of innate immunity in the host plant defence that is activated following PAMP or pathogen perception and it is also known as basal resistance (Nicaise et al., 2009). Different plant species respond to different PAMPs. For example, tobacco responds to cold-shock protein, while *Arabidopsis* does not, and only members of the Brassicaceae have so far been shown to respond to EF-Tu (Felix and Boller, 2003). The possibility of engineering plants to possess different PRRs that recognizes different PAMPs could therefore play a role in plant improvement against phytopathogens. The most well-known PAMP-PRR system involves the perception of a stretch of the bacterial flagellin through a 22-amino acid epitope known as flg22 by the cognate PRR, FLS2 (Gomez-Gomez and Boller, 2000). A good example of a plant that uses this mechanism to defend itself against pathogen attack is *Arabidopsis* plant. A mutant *Arabidopsis* plant in FLS2 is more susceptible to infections with the pathogenic bacterium, *Pseudomonas syringae* -pv. tomato DC3000 (Pto DC3000), when surface-inoculated (Zhang et al., 2010; Zipfel et al., 2004).

#### Mechanisms of PAMP triggered immunity (PTI) and the response of host plants to PAMPs recognition

Flagellin perception is the most characterized in plants. It is recognized by a leucine-rich repeat receptor like kinase known as flagellin sensitive 2 (FLS2) localized on the plasma membrane of the host plant (Boyd et al., 2018). The binding of bacterial flagellin to the extracellular domain of FLS2 leads to activation of signaling cascade that result in the activation of a defence response in the host plant (Pieterse et al., 2009). FLS2 activation involves its association with other proteins including BR11 associated kinase 1 (BAK1) and localization to less mobile areas, probably lipid raft on the plasma membrane in a ligand-dependent manner to activate PTI (Ali and Reddy, 2008).

The defence responses that occur during PTI include molecular, morphological and physiological changes. Early changes occurring within seconds to minutes include ion-flux across the plasma membrane (Jabs et al., 1997), an oxidative burst (accumulation of reactive oxygen species, ROS, largely produced by the activity of the membrane-localized NADPH oxidases), mitogen activated protein (MAP) kinase activation and protein phosphorylation (Shen et al., 2017). This is followed by transcriptional reprogramming of some genes most especially the defence-related genes including the pathogenesis-related genes and the signal transduction genes (Zhang and Zhou, 2010). Later changes include callose deposition and cell wall thickening, which serves as a physical barrier at infection sites, phytoalexin accumulation and stomatal closure (Schwessinger, and Zipfel, 2008). Stomata

provide a major entry point for many plant pathogens and *A. thaliana* stomata has been shown to close within an hour in response to PAMP (Melotto et al., 2006). During PAMP-triggered immunity (PTI), mitogen activated protein kinase (MAPK) activation leads to the phosphorylation of the WRKY transcription factors which is a key regulator of plant defence-related genes (Pandey and Somsich, 2009). This phosphorylation leads to the activation of the WRKY transcription factor, thus leading to increase in the expression of the associated genes.

The ability of the host plant to induce stomatal closure following PAMP perception shows that the guard cells associated with the stomata are able to perceive the presence of PAMP (Zipfel et al., 2006). The efflux of  $H^+$ ,  $K^+$ ,  $NO_3^-$  and  $Cl^-$  following PAMP perception tend to reduce the solute concentration of the guard cells thereby increasing the water potential (Melotto et al., 2006). This leads to the flaccidity of the guard cells, thus leading to stomatal closure. Stomatal closure following PAMP perception is also mechanistically linked to abscisic acid (ABA) signaling in the guard cells (Melotto et al., 2006).

#### Pathogen attack: Evolution of secretion systems and effectors to suppress PAMP-triggered immunity

As the "arms race" continued, successful pathogens have evolved secretion systems and effector proteins to suppress PTI, thus leading to effector-triggered susceptibility in the host plant (Henry et al., 2012). Examples of phytopathogens that use this strategy to infect the host plant include *Pseudomonas syringae* pv. *lycopercisi* and *Agrobacterium tumefaciens*. There are four basic types of secretion pathways, which include type I, II, III and IV. Type I and II pathways secrete effector proteins to the host extracellular space, whereas type III and IV pathways can deliver effectors directly into host cell (Bhat and Shahnaz, 2014). With the aid of the latter two pathways, phytopathogens are able to deliver all the aforementioned biochemical weapons into the host plant to cause a disease condition. The type III secretion system (TTSS) in phytopathogenic bacteria is encoded by the *hrp* genes (hypersensitive response and pathogenicity genes) and it is the most studied because of its importance in pathogenicity (Wei and Collmer, 2017). The secretion via this pathway is a one step process with no intermediary in the periplasm. Examples of TTSS effectors include HopA11 and HopG1 secreted by *Pseudomonas syringae* (Bhat and Shahnaz, 2014). The Vir D2/T-DNA nucleoprotein complex from *A. tumefaciens* is transported directly into the host cell through the type IV pathway and it is the only pathway that is known to translocate both proteins and nucleic acids (Bhat and Shahnaz, 2014).

Pathogens secrete molecules that manipulate host cell structure and function when they enter the host plants and these molecules are referred to as effectors (Keller et al., 2016). These effectors are derived from the expression of the *avr* genes. Effectors are secreted into the host plants from pathogen secretion systems and they could be apoplastic or cytoplasmic, depending on whether they are secreted to the host extracellular space or cytoplasm, respectively (Bhat and Shahnaz, 2014). When effectors enter the host plant cell, they can either act as toxins as elicitors (Bhat and Shahnaz, 2014). They may trip the wire by acting as elicitors if the host plant has a cognate resistance gene that encodes a resistance protein, thus activating a strong hypersensitive reaction that follows the gene-for-gene concept (Flor, 1955 and Jones and Dangl, 2006).

#### Mechanisms of effector-triggered susceptibility

Effectors enhance the virulence of the pathogen by suppressing the host defence response and also increasing the availability of nutrients to the pathogen. They may act by carrying out enzymatic activity or binding to other proteins to modify their function. Effector traffic to different cell compartments upon entering the host cell and they may have more than one target in the host plant. AvrRpt2 is a TTSS effector with proteolytic activity against at least five *Arabidopsis* proteins (Takemoto and Jones, 2005). Some effectors proteins such as Avr2, Avr4, and ECP6 secreted by the tomato fungal pathogen *Cladosporium fulvum* act in the extracellular space where they interfere with apoplastic plant defences (Kamoun, 2006). Phytopathogens must first overcome the apoplastic immunity before a successful colonization of the host plant to cause a diseased condition. The apoplast therefore serves as a battle ground in plant-pathogen interaction (Gupta et al., 2015). Some cytoplasmic effectors such as *Xanthomonas* transcription activator-like (TAL) effectors, *Phytoplasma* SAP11, and *Phytophthora* Crinklers (CRNs) are capable of being localized in the nucleus after entering the host plant with the help of the nuclear localization signals that they possess, thus altering the transcription of defence-related genes during infection (Bai et al., 2009; Mak et al., 2013; Schornack et al., 2010 and Van den et al., 1996). The type-III effector, HopG1, secreted by *P. syringae* targets plant mitochondria, whereas HopII is transported to plant chloroplasts (Block et al., 2010 and Jelenska et al., 2007). HopG1 targets mitochondria; disrupt plant development and suppresses plant innate immunity (Block et al., 2010). HopZ1a disorders microtubule networks, thereby interfering with the plant secretory pathway and suppressing plant defence brought about by the cell wall. (Bhat and Shahnaz, 2014). TTSS effectors of the plant pathogenic bacteria *Pseudomonas syringae* and *Xanthomonas campestris* have been reported to target secretory pathways, which indicates that protein trafficking plays a significant role in plant innate immunity (Wang et al., 2016).

Protein trafficking helps to transport the necessary molecules (arms) needed to protect the host plant following pathogen perception (Thordal-Christensen, 2009). Therefore, one of the virulence strategies of an invading phytopathogen is interference with protein trafficking pathway. *Pseudomonas syringae* secretes HopM1 which is restricted to the trans-Golgi network/early endosome where it destabilizes the host protein, AtMIN7, which has a crucial role in vesicle trafficking (Nomura et al., 2006). Some effectors are capable of blocking the PAMP signaling pathway by targeting the important signaling molecules. For example, HopA11, a phosphothreonine lyase secreted by several strains of *Pseudomonas syringae* targets mitogen activated protein kinases which are important signaling molecules, thus causing a blockage in PAMP signaling pathway. Some effectors alter plant behaviour and development, for example, during infection *Pseudomonas* produce coronatine, a jasmonic acid (JA) mimic that contributes to virulence by silencing salicylic acid (SA) mediated defence response to trigger stomatal reopening in *Arabidopsis* and thereby facilitating bacterial entry into the host plant (Melotto et al., 2006). This suggests that the stomata play an important function in plant innate immunity against bacterial invasion. *Xanthomonas* effectors of AvrBs3 family are known to induce cellular division and enlargement in susceptible host plants (Boch and Bonas, 2010). Other morphological changes include galls and witches broom induced by *Agrobacterium* spp. and other bacteria such as *phytoplasmas* respectively (Christie, 2004 and Hoshi et al., 2009). *Pseudomonas syringae* secretes effectors -such as HopU1, AvrRpt2, AvrB, HopAO1, HopE1, HopAM1, AvrRpm1, AvrPtoB and HopX1s which are capable of inhibiting PAMP-induced callose and it may also negatively alters defence gene expression (Cui et al., 2009).

#### Plant counter attack: Development of effector-triggered immunity

Continuing the arms race, the plants never surrender to the attempted devastating effect of the pathogens. Some successful and well-adapted plants have evolved another set of receptors known as intracellular resistance proteins encoded by the *R* genes to recognize pathogen effectors (Cui et al., 2015). An example of a plant that uses this strategy to defend itself against pathogen attack is *Arabidopsis* (Zhang et al., 2010). These resistance proteins are immune-receptors characterized by two domains; a nucleotide binding site (NBS) and leucine-rich repeats (LRR). The NBS domain located approximately in the middle of the *R* protein comprises several conserved amino acid motifs that are believed to function in ATP hydrolysis (Tameling et al., 2002). The LRR domain comprises a core of about 26 amino acid repeats found at the C-terminal end of the protein and it is predicted to modulate direct or indirect interactions between the *R* protein and its corresponding effector molecule (McHale et al., 2006). Pathogen effectors are recognized in a specific manner by intracellular resistance proteins which could be direct or indirect. The direct recognition follows the ligand receptor model, where the *R* gene products act as receptors that directly interact with the *avr* gene products (effectors) (Pieterse et al., 2009). This interaction activates a resistant reaction that follows the gene-for-gene concept. Indirect recognition of effector proteins could follow either the guard model or the decoy model. According to the guard model, the effector target is monitored by the *R* protein and these results in the change of the target, therefore, activating the resistance proteins to trigger a hypersensitive reaction in the host plant as observed in *Pseudomonas syringae* (Dangl and Jones, 2001). The perception of AvrPto effector protein produced by *Pseudomonas syringae* by the tomato proteins, Pto and Prf is in accordance with the guard model (Tang et al., 1996). The decoy model is similar to the guard model, however, the manipulation of the guarded effector target by the effector facilitates pathogen fitness in the absence of the corresponding *R* gene (Van Der Hoorn and Kamoun, 2008). The recognition of the effector protein by the cognate resistance gene product prevents the blockage of PAMP signaling, thus activating effector-triggered immunity (ETI) in the host plant, and hence turning the table on the pathogen by making the effectors liabilities (Pieterse et al., 2009). Therefore, the presence of effectors in phytopathogens could either be an advantage or disadvantage to the pathogen depending on the absence or presence of a cognate resistance protein that recognizes the effector protein. Pathogenesis caused by pathogen effectors can be overcome by the activation of ETI as explained using the gene-for-gene concept (Cui et al., 2015; Flor, 1955). ETI is a stronger form of immunity compared to PTL. ETI may be accompanied by the induction of a hypersensitive response (HR), which is a form of programmed cell death and this is a defence response effective against biotrophic phytopathogens (Hammond-Kosack and Jones, 1996). The HR effectively prevents the biotrophic pathogen to access healthy tissues which it utilizes as a nutritional source and also prevents the further spread of the pathogen (Greenberg, and Yao, 2004). HR also triggers a wide variety of defence responses, which include systemic acquired resistance (SAR), which serves as a warning throughout the plant of the invasion by the pathogen and this is also accompanied by the accumulation of some signaling molecules such as salicylic acid and ethylene (Fu and Dong, 2013).

#### The gene-for-gene concept

Genetic analysis of the interactions between flax (*Linum usitatissimum*) and flax rust (*Melampsora lini*) led Herod H Flor to the formulation of a gene-for-gene concept (Flor, 1955). He found that the resistance of flax to specific flax rust strain

could be inherited monogenetically by the next generation. The gene-for-gene concept proposes that the *avr* gene products are specifically recognized by the cognate resistance gene product of the host plant to activate a strong defence response known as effector-triggered immunity, thereby making the host plant resistant to a non-adapted pathogen (Nobuta and Meyers, 2005). This type of resistance is also known as cultivar-specific resistance (Agrios, 2005). In rice, the *LRR-RK*, *Xa21* confers resistance to *Xanthomonas oryzae* pv *oryzae* strains carrying the *avr* gene, *AvrXa2* (Song et al., 1995). According to the gene-for-gene hypothesis, pathogens may be virulent for several reasons, (a) the pathogen does not produce an avirulent protein, (b) the plant lacks a factor, for example, a resistance protein that would enable it to detect the avirulent protein, and (c) the pathogen and plant respectively lack both the avirulent and the corresponding resistance protein (Nobuta and Meyers, 2005).

#### Pathogen attack: Development of mechanisms to suppress ETI

At present, some well-adapted pathogens have developed mechanisms to suppress effector-triggered immunity. One of these mechanisms is the silencing of effector genes by endogenous pathogen sRNA to escape *R* protein recognition (Wang et al., 2015). In this case, recognitions of effector proteins by the cognate resistance proteins activate effector-triggered immunity, thereby making the effectors a liability to the pathogen. Silencing of the pathogen effector gene is a mechanism to escape recognition by resistance proteins, thereby hindering the activation of any immune response and thus making the host plant susceptible. The silencing is sRNA-mediated in a phenomenon known as RNA interference (RNAi) (Das et al., 2011). Effector genes of some phytopathogens such as *Phytophthora* are usually located in the transposable element (TE) rich regions that give rise to sRNAs (Ventukuri et al., 2012; Whisson et al., 2012). An example of a pathogen that uses this mechanism to infect the host plant is *Phytophthora sojae*. Qutob et al. (2013) observed sRNA mediated silencing of an effector gene *PsAvr3a* in a virulent strain of *Phytophthora sojae* ACR10, when infecting plants carrying the *Rps3a* resistance gene; but this silencing was absent in the avirulent strain, P7076. Another attack strategy developed by pathogens to silence host immune genes is by sRNA effectors (cross-kingdom RNAi) (Wang et al., 2015). Some phytopathogens have evolved mechanisms for silencing of the host immune genes in order to enhance infection. They achieve this by producing sRNA effectors, which they secrete into the host plants to silence their defence-related genes (Wang et al., 2015). The sRNA effectors are small interfering RNA molecules secreted by the pathogen to silence the immune genes of the host plant in a phenomenon known as RNA interference (RNAi). This type of RNAi that describes a phenomenon in which a donor organism produces an RNA trigger that moves into a recipient organism of different kingdom to cause gene silencing is known as cross-kingdom RNA interference (Wang et al., 2015). Cross-kingdom RNAi indicates that gene silencing signal can travel extracellularly over long distance, even across the cell wall in the case of plant-pathogen interaction. *Botrytis cinerea* is a very virulent pathogen that produces sRNA effector to silence the host immune gene (Weiberg et al., 2013). Cross-kingdom RNAi has also been observed in the interaction between *Verticillium dahlia* and the model plant *Arabidopsis thaliana* (Wang et al., 2015).

#### CONCLUSION

The “arms race” between plants and phytopathogens is an ongoing process that has been in existence over evolutionary periods. The plants seem to be winning in some plant-pathosystems, while in others, the pathogens are winning. Research efforts in the field of phytopathology, such as screening for resistance gene and disease tolerant plants, should therefore, be geared towards assisting the susceptible plants to overcome the deleterious effects of phytopathogens in order to ensure food security.

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